



1 **A synthetic data set of high-spectral resolution infrared**
2 **spectra for the Arctic atmosphere**

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5 **C. J. Cox^{1,2}, P. M. Rowe^{3,4}, S. P. Neshyba⁵, and V. P. Walden⁶**

6 [1] {Cooperative Institute for Research in Environmental Sciences, University of Colorado,
7 Boulder}

8 [2] {NOAA Earth System Research Laboratory, Physical Sciences Division, Boulder, CO}

9 [3] {Department of Geography, University of Idaho, Moscow, ID}

10 [4] {Departamento de Física, Universidad de Santiago de Chile, Santiago, Chile}

11 [5] {Department of Chemistry, University of Puget Sound, Tacoma, WA}

12 [6] {Department of Civil and Environmental Engineering, Washington State University, Pullman,
13 WA}

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15 Correspondence to: C. J. Cox (christopher.j.cox@noaa.gov)

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1 **Abstract**

2 Retrievals of cloud microphysical and macrophysical properties from ground-based and
3 satellite-based infrared remote sensing instruments are critical for understanding
4 clouds. However, retrieval uncertainties are difficult to quantify without a standard for
5 comparison. This is particularly true over the polar regions where surface-based data for a cloud
6 climatology are sparse, yet clouds represent a major source of uncertainty in weather and climate
7 models. We describe a synthetic high-spectral resolution infrared data set that is designed to
8 facilitate validation and development of cloud retrieval algorithms for surface- and satellite-based
9 remote sensing instruments. Since the data set is calculated using pre-defined cloudy
10 atmospheres, the properties of the cloud and atmospheric state are known *a priori*. The
11 atmospheric state used for the simulations is drawn from radiosonde measurements made at the
12 North Slope of Alaska (NSA) Atmospheric Radiation Measurement (ARM) site at Barrow,
13 Alaska (71.325 °N, 156.615 °W), a location that is generally representative of the western Arctic.
14 The cloud properties for each simulation are selected from statistical distributions derived from
15 past field measurements. Upwelling (at 60 km) and downwelling (at the surface) infrared spectra
16 are simulated for 222 cloudy cases from 50 - 3000 cm⁻¹ (3.3 to 200 μm) at monochromatic (line-
17 by-line) resolution at a spacing of ~0.01 cm⁻¹ using the Line-by-line Radiative Transfer Model
18 (LBLRTM) and the discrete-ordinate-method radiative transfer code (DISORT). These spectra
19 are freely available for interested researchers from the ACADIS data repository (doi:
20 10.5065/D61J97TT).

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1 **1 Introduction**

2 Cloud properties, including height, temperature, particle size, and thermodynamic phase
3 modulate precipitation development, cloud lifetime, and cloud radiative forcing. In climate
4 change scenarios, models show that radiative forcing by Arctic clouds amplifies greenhouse
5 warming, with the largest model errors in winter and spring when longwave warming dominates
6 (Vavrus et al., 2009). Thus, an accurate understanding of the radiative effects of Arctic clouds, as
7 well as quality estimates of observed cloud properties, are needed to advance research focused on
8 the role of clouds in climate and to support modeling applications at many scales.

9 In the polar regions, progress toward this goal is hampered by limited data, large uncertainties,
10 and systematic biases in different remote sensing approaches to retrieving cloud properties.
11 Passive infrared sensors are well suited to examine the interplay between clouds and infrared
12 radiation because they measure infrared radiation directly and can be used to retrieve cloud
13 properties. Passive infrared sensors have been used to retrieve cloud properties from satellite
14 platforms, providing broad spatial coverage (e.g., Wang and Key 2005; Strabala et al., 1994;
15 Baum et al., 2000; Li et al., 2005; Kay and Gettelman, 2009; Kahn et al., 2014), as well as from
16 surface observatories, providing high temporal resolution (e.g. Rathke et al., 2002a,b; Turner et
17 al. 2003; Turner, 2005; Zhao et al., 2012; Garrett and Zhao, 2013; Cox et al., 2014). But because
18 retrieval algorithms based on these sensors include radiative-equivalent assumptions particular to
19 the infrared part of the spectrum, the retrieved cloud properties do not always agree with results
20 obtained from other types of sensors: they are most sensitive to optically thin clouds near the
21 instrument (i.e. high clouds for satellite-based sensors and low clouds for surface-based sensors),
22 typically are layer-averaged through all cloud columns, and generally are more sensitive to liquid
23 than ice, primarily due to how differences in the geometries of ice and liquid hydrometeors affect
24 their infrared radiance (e.g., Garrett and Zhao, 2006). Numerous inter-comparison studies report
25 systematic differences between cloud properties retrieved from infrared sensors and results from
26 other sensors (e.g., Shupe et al., 2008; Dong et al., 2008; Karlsson and Dybbroe, 2010; Liu et al.,
27 2010; Minnis et al., 2011; Vogelmann et al., 2012; Zhao et al., 2012; Chan and Comiso, 2013; Jin
28 and Nasiri, 2014).

29 Biases are difficult to reconcile when working with real data alone. In large part, this is due to
30 fundamental differences in perspective and measurement sensitivity between different sensor



1 types: some instruments record cloud properties on a time scale that is fast compared to the time
2 scale of cloud evolution, for example, while others yield average properties. A similar situation
3 arises due to variations in field of view. Even within the set of infrared remote sensing algorithms
4 currently in use, comparative evaluation of different algorithms is often not possible because the
5 actual cloud properties are not known.

6 Thus, alternative evaluation approaches (e.g., Pincus et al., 2012) are needed to better constrain
7 biases, facilitate algorithm development, and advance interpretation of results. Simulated data
8 sets, though idealized, reduce the number of sources of uncertainty, thereby permitting a more
9 detailed evaluation of many aspects of individual methodologies and measurement sensitivities.
10 In a simulated data set, the properties of interest are known *a priori* and the assumptions
11 associated with the data set are controlled.

12 In this manuscript, we describe a simulated data set that can be used to represent a cloud
13 climatology for the Arctic as viewed from the surface or space by passive infrared sensors. The
14 data set is applicable to studies focusing on assessing uncertainties in cloud properties derived
15 from hyperspectral and narrow-band infrared radiances, which currently represent a substantial
16 source of data for the Arctic. The inclusion of a wide range of cloud properties is useful for such
17 studies, as well as making the dataset useful for studies focused on the infrared radiative effects
18 of Arctic clouds. The data set described here is based on atmospheric profiles measured by
19 radiosoundings from Barrow, Alaska (71.325 °N, 156.615 °W, 8 m), a location thought to be
20 generally representative of the far-western Arctic atmosphere (Dong and Mace, 2003; Dong et
21 al., 2010; Shupe et al., 2011; Cox et al., 2012). Because the data set is intended to be
22 representative of the Arctic in general, it is only loosely based on Barrow; the main objective is to
23 encompass the range of properties expected in the Arctic. Cloud properties are generalized from
24 results reported for the western Arctic and Canadian Archipelago regions (Shupe et al., 2011;
25 Shupe, 2011; Cox et al., 2014). The data set consists of infrared radiative transfer calculations of
26 222 unique “cloudy sky” cases based on varying cloud properties for 30 unique atmospheric
27 states (e.g. temperature, humidity, and CO₂ profiles) that are representative of scenes containing
28 clouds. The spectral range is 50 to 3000 cm⁻¹ (200 – 3.3 μm) at monochromatic, or line-by-line
29 resolution, spaced at ~ 0.01 cm⁻¹. Through convolution of the simulated spectra with an



1 instrument response function (e.g., Beer 1992), the data set can be customized to mimic data
2 acquired by a range of instrumentation. The data set is available for community use; data access
3 information is provided in Section 6.

4

5 **2 Radiative Transfer Models**

6 To simulate upwelling and downwelling infrared spectra, two radiative transfer models are used.
7 The Line-By-Line Radiative Transfer Model (LBLRTM), version 12.2, (Clough et al., 1992;
8 Clough et al., 2005) is used initially to calculate vertical profiles of infrared optical depths of
9 radiatively-active gases under clear-sky conditions. LBLRTM has been validated extensively
10 (e.g., Clough et al. 1992; Clough et al., 2005; Delamere, 2010; Alvarado et al., 2013).

11 LBLRTM requires vertical profiles of temperature and radiatively-active gases (e.g. water vapor,
12 carbon dioxide, and ozone) as input to simulate clear-sky optical depth profiles and radiance.
13 Preparation of the profiles is described in Section 3.1. The LBLRTM calculations were
14 performed line-by-line from 50 to 3000 cm^{-1} (3.3 - 200 μm) using the 2008 version of the high-
15 resolution transmission molecular absorption database (HITRAN) (Rothman et al., 2009). The
16 gaseous optical depth profiles are then used together with cloud properties as input to a program
17 for calculating discrete-ordinate-method radiative transfer in scattering and emitting layered
18 media (DISORT) (Stamnes et al., 1988) to simulate cloudy-sky spectra. For this data set, only
19 single-layered clouds were calculated. Both DISORT and LBLRTM simulate radiation in a
20 plane-parallel model atmosphere.

21 DISORT performs radiative transfer at a given wavenumber and requires a variety of input
22 parameters. (Even though DISORT is monochromatic, a small wavenumber interval is specified
23 for calculation of the Planck radiation.) A Matlab code (“runDisort.m” available at
24 <https://github.com/prowel2/runDisort>) was developed for organizing the inputs, running
25 DISORT at each wavenumber to calculate the infrared radiance at the surface or top of
26 atmosphere, and combining the radiances into a high-resolution spectrum. Inputs to runDisort.m
27 include wavenumber, gaseous optical depths (e.g., from LBLRTM), and the temperature profile,
28 as well as the cloud properties (cloud layer, visible optical depths, and effective radii for liquid
29 and ice). Additional inputs are as follows. For downwelling spectra, the viewing angle is set to 0°



1 relative to zenith, while for upwelling the viewing angle is 180° . The solar zenith angle is
 2 calculated for a particular date and location, where the chosen dates represent all four seasons
 3 (see Section 3.1) and the location was chosen in the Canadian Arctic at $\sim 80^\circ\text{N}$, 86°W . Thus, solar
 4 angles are typically low (note that for wavenumbers smaller than about 2000 cm^{-1} , the influence
 5 of solar radiation is small). The surface type is set to Lambertian, and the surface albedo is
 6 determined from the surface emissivity measurements for ice/snow from the Moderate
 7 Resolution Imaging Spectrometer (MODIS) University of California, Santa Barbara (UCSB)
 8 emissivity library. Beyond the wavenumber range of the emissivity library ($687\text{--}998\text{ cm}^{-1}$), the
 9 emissivity is assumed to be spectrally flat and equivalent to the values at the boundaries. The
 10 Kurucz solar source function is used (Kurucz et al., 1992) to determine the solar input.

11 The single-scattering albedo, asymmetry parameter, phase function moments, and extinction,
 12 absorption, and scattering efficiencies were calculated from Mie theory assuming spheres for
 13 both liquid and ice (Wiscombe, 1979, 1980). (Spheres were specifically used for ice to simplify
 14 the dataset and any associated retrievals.) Mie calculations require the complex indices of
 15 refraction of the cloud particles as well as specification of the particle radius. Subsequently, the
 16 single scattering properties were averaged for a log-normal distribution of particle sizes with
 17 geometric mean radius,

$$18 \quad r_g = \frac{r_e}{e^{2.5 \ln(\sigma_g)}}, \quad (1)$$

19 where r_e specifies effective radius, as described by Neshyba et al. (2003); note that here σ_g was
 20 chosen to be 0.331.

21 In model layers containing the cloud, runDisort.m adds the wavenumber-dependent infrared
 22 cloud optical depth (τ) to the gaseous optical depth. The cloud optical depth is determined from
 23 the visible optical depth (τ_{vis}) independently for each phase. For example, for liquid

$$24 \quad \tau_{liq} = \frac{\tau_{vis,liq}}{2} Q_{ext,liq}(\tau_{liq}), \quad (2)$$

25 where $Q_{ext,liq}$ is the extinction cross section. [For ice, replace *liq* with *ice* in Eq. (2).] This work
 26 uses new temperature-dependent indices of refraction for liquid water at 240, 253, 263, 273 and
 27 300 K (see Rowe et al., 2013 and references therein). To estimate the liquid optical depth of the
 28 cloud, optical depths are computed at two temperatures, one falling just below the mean cloud



1 temperature and the other just above it, and then a weighted mean is taken. For ice, temperature
2 dependencies are not included. Mixed phase clouds are modeled as external mixture: the sum of
3 liquid and ice optical depths is used.

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5 **3 Specification of the atmospheric state**

6 The initial step in simulating infrared spectra is to specify the properties of the atmosphere. In the
7 infrared, the relevant parameters are the vertical profiles of temperature and concentrations of
8 gases that absorb and emit significantly in the spectral region of interest. First, a representative
9 set of vertical profiles of temperature and concentration of atmospheric gases is selected (Section
10 3.1). Second, a realistic description of the macrophysical properties of clouds (i.e., height and
11 physical thickness) is determined for each profile (Section 3.2). Finally, for each cloud, a range of
12 microphysical properties (i.e., particle size, phase) and optical properties (i.e., optical depth) is
13 defined (Section 3.3). Each spectrum represents a radiative transfer calculation of one of the
14 profiles containing a cloud with a set of properties that satisfy the criteria of the study.

15 **3.1 Preparation of atmospheric profiles**

16 It is important that the profiles of temperature and humidity be realistic, and that the vertical
17 position and extent of clouds also be realistic for individual profiles. Therefore, a small,
18 representative sample of temperature and humidity profiles from radiosondes, which may include
19 features such as cloud-top inversions, was used instead of a climatological mean, which averages
20 out such features. An initial set of 796 radiosondes launched in 2012 by the U.S. Department of
21 Energy (DOE) Atmospheric Radiation Measurement (ARM) program at the North Slope of
22 Alaska (NSA) site (Stamnes et al., 1999) was examined. ARM NSA launched Vaisala RS-92
23 radiosondes, typically at 0600 and 1800 UTC, but sometimes at other times during the day.
24 Possibly spurious temperature inversions within the lowest 100 m were removed by linearly
25 interpolating to the surface. For reference, the radiosondes from Barrow in 2012 were similar to
26 the radiosonde profiles from over the Arctic Ocean north of Barrow acquired during the Surface
27 Heat Budget of the Arctic Ocean (SHEBA) (Uttal et al., 2002) drifting observatory in 1997 and
28 1998, but were slightly warmer and moister with slightly stronger temperature inversions.
29 Radiosondes were only deemed valid for selection if they reached at least 10 km, reducing the set



1 from 796 to 784. The profiles were linearly interpolated to a common grid of 41 vertical levels
2 that are described in detail later in this section. References to the ARM-archived files containing
3 the original radiosonde data are included with the dataset.

4 The data set focuses on atmospheric profiles containing clouds. Because clear and cloudy-sky
5 profiles may differ, only profiles likely to represent cloudy times were selected. These were
6 identified by the presence of one or more layers where the relative humidity with respect to water
7 was greater than 95% between the surface and 8 km, where Arctic clouds are typically found
8 (Shupe et al., 2011). (Throughout this manuscript, “relative humidity” is defined as being with
9 respect to water.) This threshold (rather than requiring 100% relative humidity) was chosen
10 because humidity sensors are typically biased low in the dry polar atmosphere (Miloshevich et
11 al., 2006; and Vömel et al., 2007; Rowe, et al., 2008); relative humidities at model cloud heights
12 were subsequently set to 100%, as described below. Of the remaining 784 radiosondes, 522
13 (67%) are good candidates for containing clouds. Since the number of calculations that can be
14 performed is limited because of the long computational time, a random selection of 30 of the 522
15 (6%) “cloudy” profiles is used for the final data set.

16 Figure 1 shows how the thirty selected profiles are distributed in time throughout the year. The
17 selected profiles exclude some of the lowest surface temperatures because those conditions most
18 likely represent clear-skies. All seasons are represented, but more radiosondes are from summer
19 and autumn than winter and spring, which is consistent with the fact that the cloud fraction is
20 higher in summer and autumn (80-95% of time) than in winter and spring (60-80%) at Barrow
21 (Shupe et al., 2011). The temperature and humidity profiles for these selections are similar in
22 mean and variance to the full 2012 Barrow data set, as shown in Figures 2a and 2b. The model
23 atmosphere is divided into 40 layers extending from the surface to 60 km (atmospheric pressure
24 at 60 km is less than 1 mb). Since levels in the stratosphere are relatively coarse, levels between
25 28 km and 33 km are qualitatively set to fully capture the profile of ozone. Temperature,
26 humidity, and trace gas concentrations are specified at 41 layer boundaries, spaced by 0.1 km
27 from 0 to 1 km, by 0.2 km from 1 to 2 km, and then at 2.4, 2.8, 3.2, 4, 5, 6, 7, 8, 9, 10, 11, 12, 14,
28 17, 20, 25, 28, 33, 36.4, 39.6, 43, 46, 50, 56, and 60 km. DISORT models the change in
29 temperature across a layer by assuming the Planck function changes linearly with optical depth;
30 this approximation leads to a requirement that the temperature differential across a layer be < 10



1 K (Stamnes et al, 2000). Thus to avoid errors due to large temperature variations across the
2 layers, the boundaries are chosen such that temperature variations are < 7 K below 3 km, where
3 most clouds are positioned (see Section 3.2 for a discussion of cloud height) and < 10 K for all
4 layers, as shown in Figure 3.

5 For computational efficiency, we truncate the model atmosphere at the height above which layer
6 optical depths begin to fall below 10^{-5} . For the atmospheric layering chosen, at highly transparent
7 wavenumbers, the optical depth can fall below 10^{-5} in the upper troposphere, while at other
8 wavenumbers, layer optical depths may be $> 10^{-5}$ up to 60 km. Thus, at each wavenumber, the
9 profiles used in the DISORT calculation terminate at 60 km or the height at which the layer
10 optical depth falls to 10^{-5} , whichever is lower. Radiance differences due to truncating the
11 atmosphere when the optical depth falls below 10^{-5} are found to be quite small (sensitivity studies
12 indicate that errors due to omitting these layers are on the order of 10^{-4} mW (m² sr cm⁻¹)⁻¹).

13 Humidity and temperature profiles above 18 km use the subarctic summer and subarctic winter
14 standard atmospheres (McClatchey et al., 1972); all selected radiosoundings terminated above
15 this height. Ozone, nitrous oxide, carbon monoxide, methane, and oxygen are also set using
16 standard atmospheres (McClatchey et al., 1972). The subarctic winter model is used for the
17 months of November through February, the subarctic summer is used for June through August,
18 and the mean is used for the other months. Carbon dioxide concentrations are from monthly mean
19 surface flask measurements from Barrow acquired in 2010 by the NOAA Earth System Research
20 Laboratory (ESRL) Global Monitoring Division (GMD) (Conway et al., 2011); a constant mixing
21 ratio with height is assumed.

22 **3.2 Cloud macrophysical properties**

23 Cloud macrophysical properties (cloud base and top heights) are set qualitatively by analysis of
24 each of the 30 individual atmospheric profiles. Cloud base and top heights determine the physical
25 thickness. The thermodynamic temperature structure of each cloud is that of the model layer(s) in
26 which it was placed. As described in the previous section, at least one layer in each profile had a
27 relative humidity greater than 95%. This moist layer could sometimes span multiple model
28 atmospheric layers, particularly in the lower atmosphere where many model layers are physically
29 thin, but where Arctic clouds are likely to be physically thick. When only a single layer boundary



1 is moist, the adjacent layer (either above or below) with the highest humidity is identified as a
2 cloud boundary. Some layers with relative humidity less than 95% that are adjacent to moist layer
3 boundaries are identified as containing a cloud because other identifying characteristics were
4 present, such as a cloud-top inversion. In all “cloudy” layers, the relative humidity is set to 100%.
5 Seven of the 30 profiles can be considered cloudy at two non-successive layers in the
6 atmospheric column. These profiles are used twice, once for the lower cloud and once for the
7 upper cloud, but two clouds are never defined at the same time in keeping with the criteria of
8 modeling single clouds only. Therefore, a total of 37 clouds were identified using the 30 profiles.
9 Figure 4 shows an example of a profile; the cloud location is indicated in gray.

10 A summary of the cloud macrophysics is shown in Figure 5. The distribution of cloud base
11 heights (Figure 5a) is similar to that reported for Barrow and SHEBA in Shupe et al. (2011), but
12 the cloud top heights (Figure 5a) and thus also the cloud thicknesses (Figure 5b), are somewhat
13 lower than those reported by Shupe et al. (2011). Distributions of cloud layer-mean
14 thermodynamic temperature (Figure 5c) are also reasonable when compared to the distributions
15 reported for Barrow and SHEBA by Shupe et al. (2011). Figure 6 shows the relationship between
16 cloud base height and other macrophysical properties. Mean cloud temperatures (Figure 6a)
17 generally decrease with increasing height, as is generally true in the atmosphere, with a weaker
18 correlation in the lowest 1 km, likely due to the near-surface temperature inversion, which is
19 common in the Arctic. Because model layers were defined to get thicker going up in the
20 atmosphere, the highest clouds are unrealistically physically thick (Figure 6b), and therefore the
21 temperature gradient through the layer (Figure 6c), is also less realistic. However, this layering
22 was chosen for simplicity, consistency, and computational efficiency.

23

24 **3.3 Cloud microphysical and optical properties**

25 This section describes the parameterization of cloud microphysical and optical properties,
26 including optical depth, particle size (effective radius), thermodynamic phase (ice fraction), ice
27 water path (IWP), and liquid water path (LWP). For each simulation, visible optical depth, ice
28 fraction, effective radius of liquid (if present) and effective radius of ice (if present) are randomly



1 selected from pre-determined distributions for the simulations; IWP and LWP are calculated
2 based on these selections.

3 Figure 7 shows the distributions of each of the parameterized microphysical and optical
4 properties for the 222 simulations. The distributions of effective radii are modeled as gamma
5 distributions to be similar to distributions retrieved from ground-based infrared spectral
6 observations at SHEBA (Turner, 2005) and Eureka, Canada (80.053 °N, 86.417 °W, 10 m) (Cox
7 et al., 2014). The means were set to 10 μm for liquid and 25 μm for ice, which are reasonable
8 estimates for Arctic particle sizes (Turner, 2005). Following the results from Cox et al. (2014)
9 and Turner (2005), the distribution shape parameter is set subjectively to $\alpha = 5$ for liquid and $\alpha =$
10 0 for ice. An additional consideration in setting the shape parameter is to ensure that the
11 distributions overlap, as they do in the real atmosphere, to make sure that retrieval algorithms
12 tested using this data set do not rely on particle size to determine phase. The overlap is
13 approximately 1/3 of the area under each distribution.

14 Cloud visible optical depths are selected randomly from a uniform distribution of transmission
15 (\mathfrak{T}) between 0 and 0.98; the visible optical depth is then $-\ln[\mathfrak{T}]$. The maximum transmission is set
16 to 0.98 because this is approximately equivalent to an optical depth of 0.02; such a small optical
17 depth is typically below the threshold for detecting a cloud for most currently used instruments
18 and was chosen purposefully so the dataset is useful for testing the limits of retrieval capability.
19 Similarly, the upper optical depth threshold is above the typical threshold for sensitivity to cloud
20 microphysical properties in the infrared (optical depth of ~ 6), allowing for testing retrieval
21 limitations. The resulting distribution, shown in Figure 7b, is quasi-logarithmic, as are
22 distributions of optical depth retrieved from infrared observations in the Arctic (Turner, 2005;
23 Cox et al., 2014). This method for building an optical depth distribution is practical because it
24 results in many thin clouds, but not as many as an exponential distribution, and only a few clouds
25 with optical depths greater than 6-7, above which clouds are nearly optically opaque.

26 Phase partitioning is set so that the probability of liquid-only and ice-only clouds is each 1:6, and
27 the probability of a mixed-phase cloud is 2:3. The actual proportions of the final data set are
28 shown in Figure 7c. These proportions are not meant to reflect a climatological distribution
29 representative of the Arctic, but rather to ensure that a sufficient number of each phase is



1 represented especially for mixed-phase clouds, which are common in the Arctic (Turner, 2005;
2 Shupe et al., 2008; de Boer et al., 2009; Shupe, 2011; Cox et al., 2014). Ice fractions for mixed-
3 phase clouds are drawn from a uniform distribution between 0.01 and 0.99. The distribution of
4 ice fraction in mixed-phase clouds in the final data set is shown in Figure 7d.

5

6 **4 Modelled infrared spectra**

7 Figures 8a and b show examples of simulated line-by-line spectra (the spectral grid is $\sim 2.55 \times 10^4$
8 cm^{-1} for LBLRTM and $\sim 0.01 \text{ cm}^{-1}$ for DISORT) in three spectral ranges (or channels). For each
9 atmospheric profile, clear-sky radiances were created using LBLRTM and are provided together
10 with the cloudy-sky profiles (upwelling clear-sky radiances created with LBLRTM use the same
11 surface emissivity/reflectivity characteristics as cloudy-sky radiances created with DISORT). An
12 overlap of 50 cm^{-1} at the edges of the channels ensures that errors incurred near the edges are
13 negligible when combining the channels. The clear-sky radiance is also shown for reference. The
14 effect of the cloud is to increase the baseline of the spectrum, which is close to zero in the
15 atmospheric window (800 to 1300 cm^{-1}), for the clear-sky case. For the cloud shown, the cloud is
16 thin enough that strong gaseous emission lines are clearly evident.

17 The simulations can be convolved with an instrument response function to produce a simulation
18 that matches the output from an actual instrument (e.g., Beer, 1992). For example, Figures 9a and
19 9b show a downwelling spectrum from the perspective of the surface at a variety of different
20 resolutions ($0.1, 0.5, 1, 2,$ and 4 cm^{-1}), where the spectra were created by convolving the line-by-
21 line spectra with the sinc function (or, in practice, by multiplying the corresponding
22 interferograms by boxcar functions and taking the Fourier transform). Figures 9c and 9d show the
23 same for upward directed radiances from the perspective of the top of the atmosphere (TOA,
24 defined here as 60 km), representing radiances that would be measured from satellites.

25 Spectra at a variety of instrument resolutions can be used to test cloud height retrievals and
26 microphysical property retrievals, as well as to test methods for running DISORT using gaseous
27 optical depths that have been modified to account for instrument resolution. For uses such as
28 these, the sources of retrieval errors can be tested as follows. Random noise can be simulated and
29 added to the simulated radiances. To simulate errors in the atmospheric state, retrievals can be



1 performed using atmospheric profiles that have been perturbed. Because the dataset consists of so
2 many cases, errors can be drawn from a random distribution characterized by the desired mean
3 and standard deviation so that errors vary from case to case. Because the data are simulated, these
4 sources of error, as well as model errors, can be quantified.

5

6 **5 Conclusions**

7 A synthetic, monochromatic (line-by-line) resolution data set of spectral infrared radiances is
8 described that is based on the atmospheric state and cloud conditions typical of the western
9 Arctic. The data set includes radiative transfer calculations from the perspective of the surface
10 and the top of the atmosphere (60 km) and is thus applicable to researchers working with surface-
11 or satellite-based measurements. The data set is designed to provide an idealized framework for
12 the development and testing of cloud-property retrieval algorithms in which the assumptions are
13 controlled and the properties of the clouds are known *a priori*. This addresses an important
14 knowledge gap demonstrated by the results of numerous studies reporting systematic, but only
15 weakly traceable differences in intercomparisons between measurement and retrieval
16 methodologies (e.g., Shupe et al., 2008; Dong et al., 2008; Liu et al., 2010; Minnis et al., 2011;
17 Vogelmann et al., 2012; Zhao et al., 2012; Chan and Comiso, 2013). The data set may also be
18 useful for other applications as well, such as research on cloud-surface radiative interactions,
19 trace gas retrievals, or investigations of the effect of instrument resolution.

20

21 **6 Data accessibility**

22 Upwelling (at 60 km) and downwelling (at the surface) simulated clear-sky and all-sky infrared
23 spectra, cloud properties, and atmospheric state profiles of temperature, pressure, and radiatively-
24 active gases are available in the Network Common Data Format (netCDF) (e.g.,
25 <http://www.unidata.ucar.edu/software/netcdf/>). The data set contains 222 unique cases from 50 -
26 3000 cm^{-1} (3.3 to $200\text{ }\mu\text{m}$) at a spectral resolution of $\sim 0.01\text{ cm}^{-1}$ (all-sky) and line-by-line
27 resolution (clear-sky). The spectral range is distributed across three channels ($100\text{-}510\text{ cm}^{-1}$, 460-
28 2055 cm^{-1} , and $2005\text{-}3000\text{ cm}^{-1}$), each in separate files. Interested researchers may download the



1 data from ACADIS (<http://www.aoncadis.org>) and are encouraged to cite the use of the data
2 using the associated digital object identifier (doi: 10.5065/D61J97TT).

3

4 **Author contributions**

5 C. J. Cox, V. P. Walden, and P. M. Rowe conceived and designed the data set and performed the
6 simulations. P. M. Rowe and S. P. Neshyba developed computer code used for the simulations.

7 C. J. Cox prepared the manuscript with contributions from all co-authors.

8

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16 Research Laboratory (ESRL) Global Monitoring Division (GMD) archive of CO₂ measurements
17 is available from ftp://aftp.cmdl.noaa.gov/data/trace_gases/co2/flask/surface/. The U.S. Dept. of
18 Energy (DOE) Atmospheric Radiation Measurement (ARM) program data are available from the
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20 University of California, Santa Barbara (UCSB) emissivity library is available from
21 <http://www.ices.ucsb.edu/modis/EMIS/html/em.html>. We acknowledge the ACADIS data
22 portal, where the data is archived for community use: <http://www.aoncadis.org>.

23

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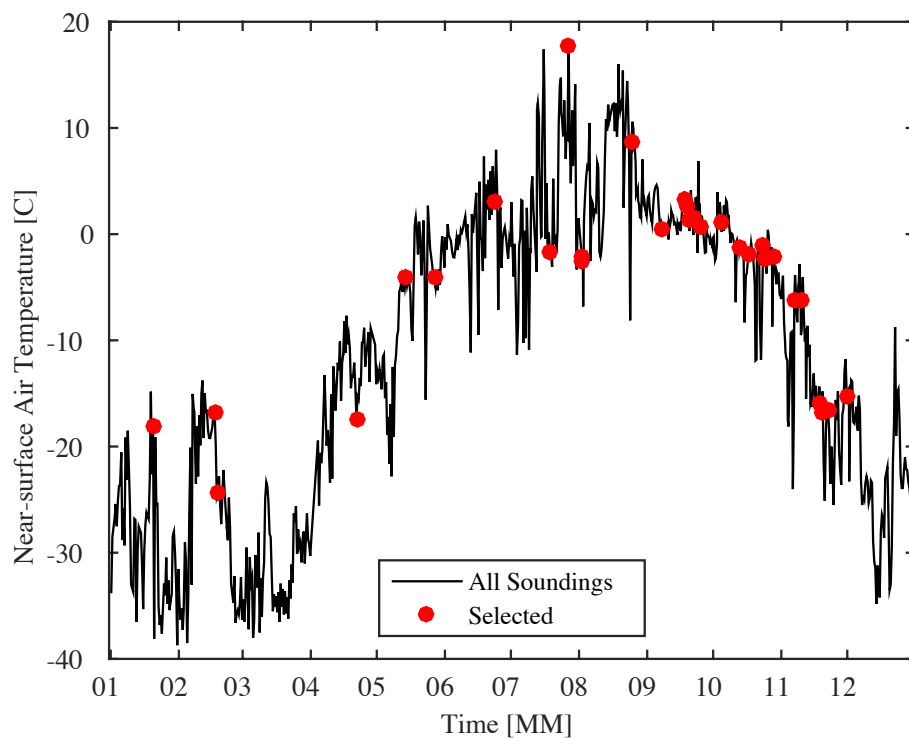
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2 Figure 1. Temperature timeseries for the lowest level of each radiosonde from the 2012 Barrow
3 data set. Red dots represent the 30 radiosondes that were selected for the data set.

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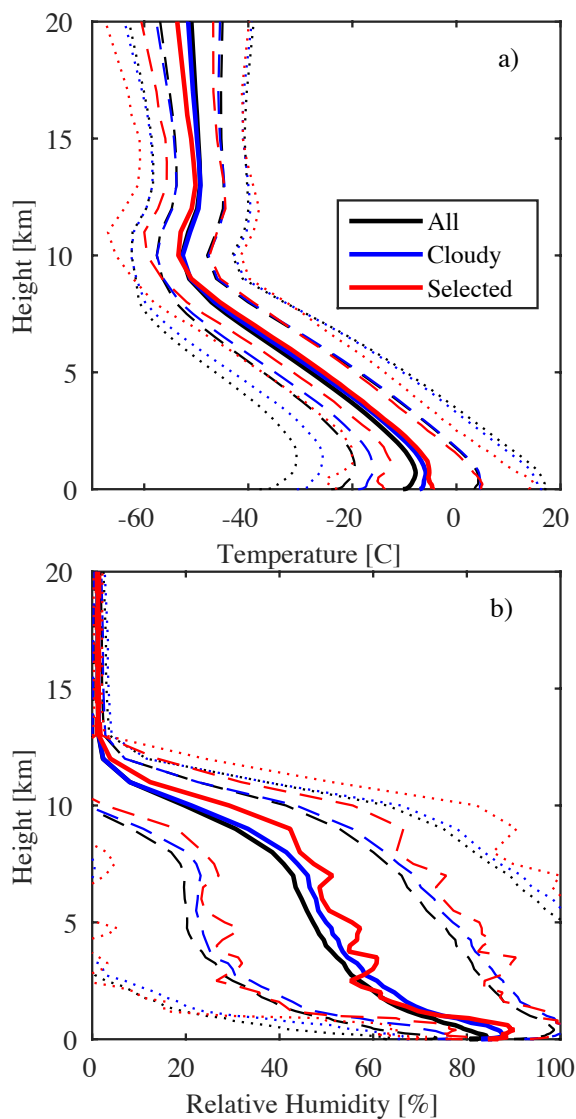
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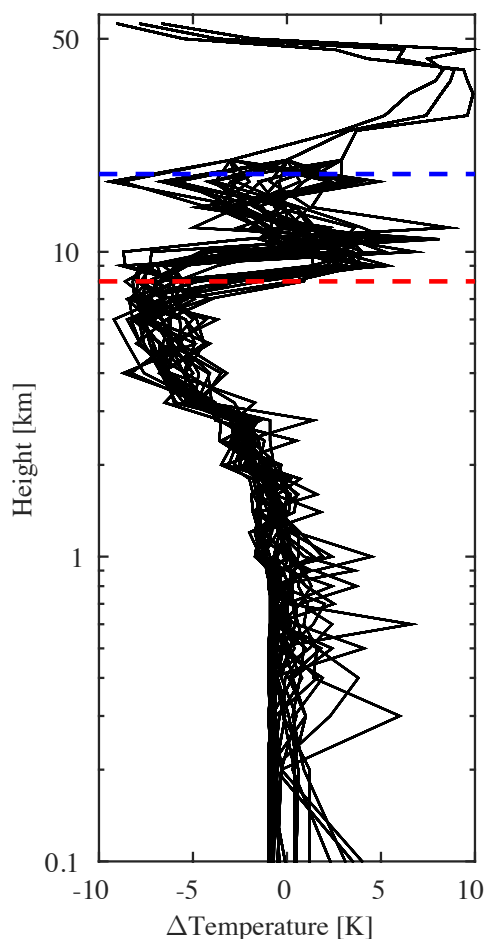


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2 Figure 2. a) Mean profile from 2012 radiosondes (black), mean “cloudy” profile (blue), mean of
3 selected radiosondes (red). b) Same as (a), but for relative humidity. Dashed and dotted lines
4 represent +/- 1 σ and 2 σ variability, respectively

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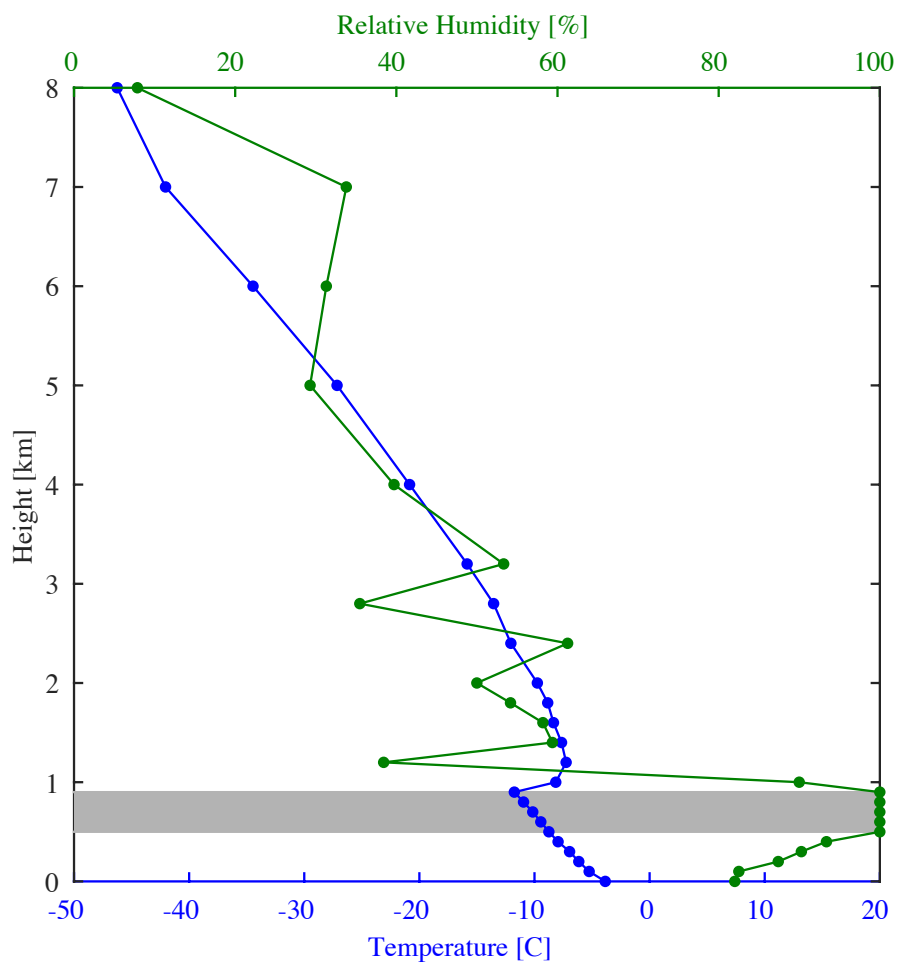
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2 Figure 3. Temperature difference ($T_{\text{layer top}} - T_{\text{layer bottom}}$) for each profile. The threshold for the
3 temperature differential was ± 10 K, which are the limits of the x axis for the plot. The dashed
4 red line is 8km, the highest level that clouds are positioned. The dashed blue line is 18 km; above
5 18 km only standard atmospheres (sub-Arctic winter, sub-Arctic summer, and the transition
6 seasons) are used. The lines are plotted using the center heights of the layers as the vertical
7 coordinate.

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2 Figure 4. Example profile of temperature (blue) and relative humidity (green) from the surface to
3 8 km. The cloud (grey shading) is placed between 0.5 and 0.9 km, encompassing 4 model
4 atmospheric layers. The temperature profile exhibits a cloud top inversion.

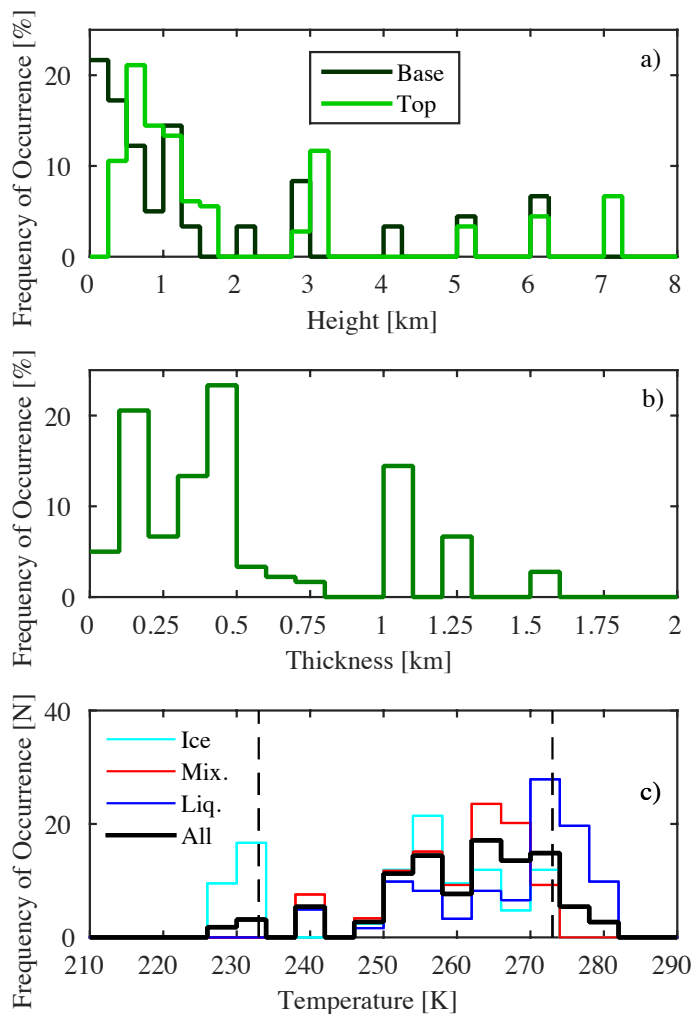
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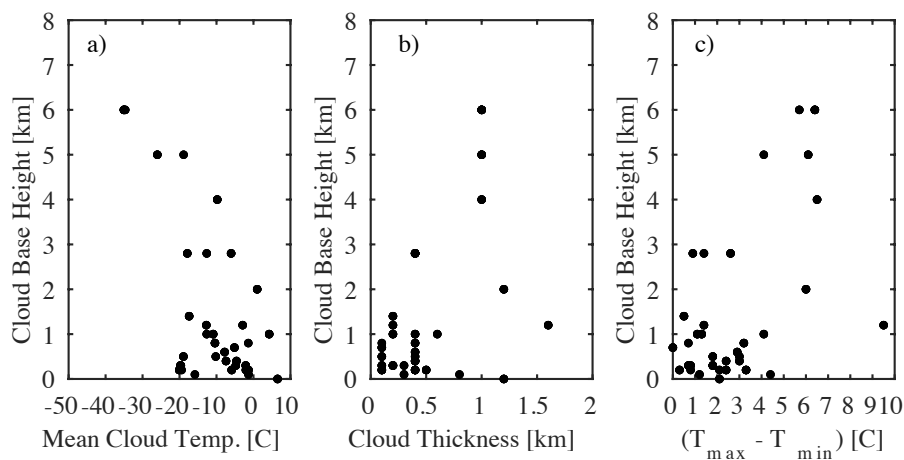
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2 Figure 5. Distributions of macrophysical properties for the 222 simulated clouds. a) cloud base
3 height (black) and cloud top height (gray), b) physical thickness, and c) cloud mean temperature.
4 The vertical lines in (c) represent the physical limits imposed on the minimum temperature that
5 liquid may be included (233 K) and the maximum temperature that ice may be included (273 K).
6 Between these thresholds examples of liquid-only, ice-only, and mixed phase clouds are
7 simulated.



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2 Figure 6. Relationships between the macrophysical properties for the 37 cloud macrophysical
 3 scenarios. a) cloud base height versus cloud layer mean temperature, b) cloud base height versus
 4 cloud physical thickness, and c) cloud base height versus cloud layer temperature differential.

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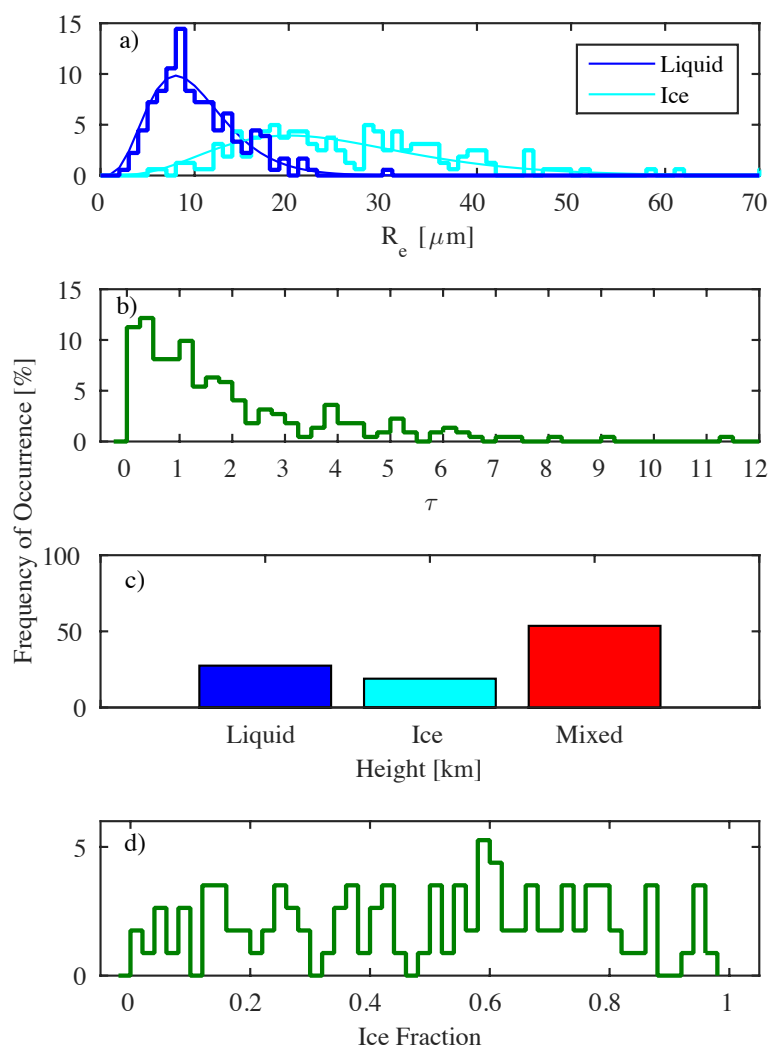
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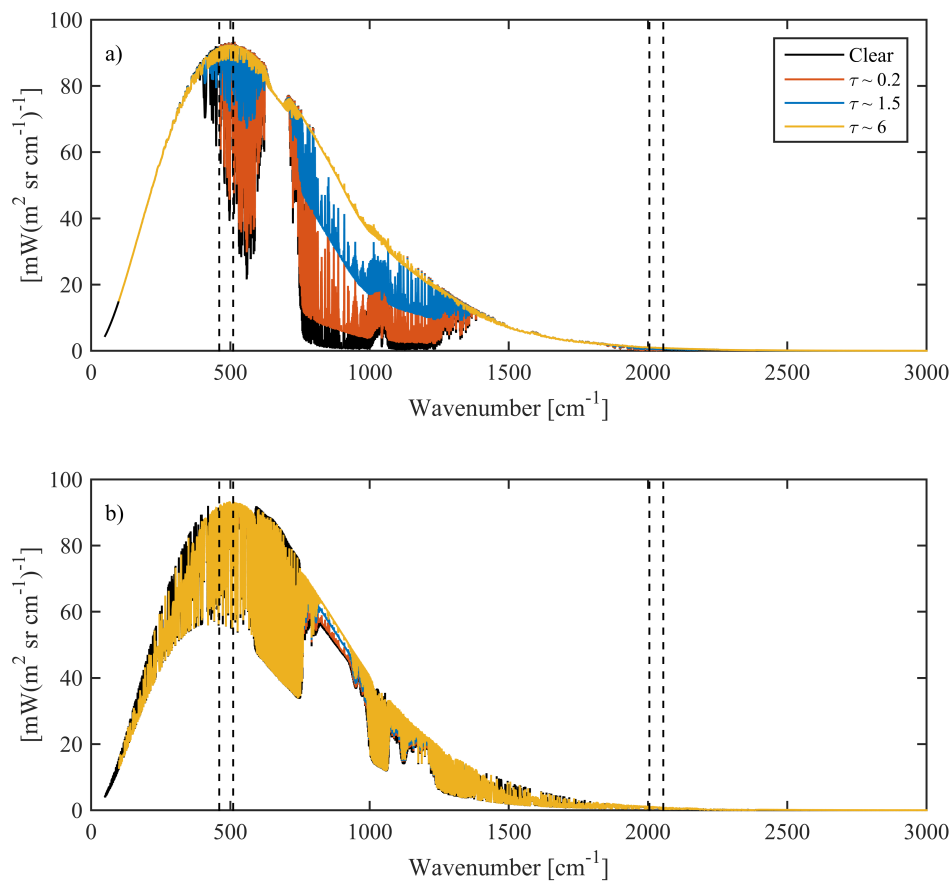
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2 Figure 7. Distributions of microphysical properties for the 222 simulated clouds. a) Effective
3 radius, b) total (ice + liquid) optical depth, c) phase, and d) ice fraction (fraction of total optical
4 depth) for mixed-phase clouds.

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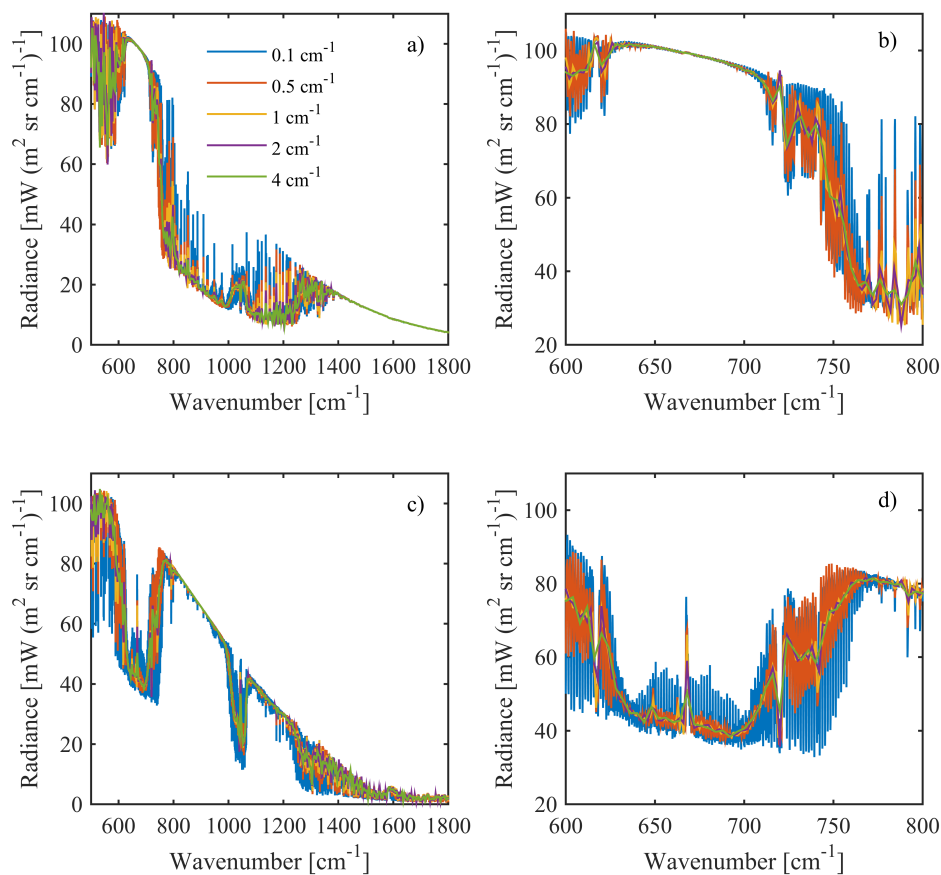
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2 Figure 8. Example simulated radiances for (a) downwelling from the perspective of the surface
3 and (b) same case as (a), but for upwelling radiances from the perspective of the top of
4 atmosphere. Channel edges and channel overlap between ch1 and ch2 are marked by vertical
5 dashed lines near 500 cm^{-1} and similarly near 2000 cm^{-1} for ch2 and ch3. Each panel shows line-
6 by-line clear sky radiances (black) and 0.01 cm^{-1} resolution spectra for three example clouds with
7 optical depths of approximately 0.2 (red), 1.5 (blue), and 6 (yellow).

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2 Figure 9. Simulated downwelling radiances from 500-1800 cm^{-1} for an example case ($\tau = 0.65$)
3 from the perspective of the surface (a,b) and simulated upwelling radiances from same case from
4 the perspective of the top of atmosphere (c,d). The top row (a,c) and the bottom row (c,d) each
5 show the same data, but with the x-axis narrowed to 600-800 cm^{-1} to illustrate the wing of the 15
6 μm CO_2 band where there is considerable spectral structure. In each panel the 0.01 cm^{-1} spectral
7 resolution base data is convolved to 0.1 cm^{-1} (blue), 0.5 cm^{-1} (red), 1 cm^{-1} (yellow), 2 cm^{-1}
8 (purple), and 4 cm^{-1} (green).